



PTO/SB/21 (02-04) (AW 02/2004)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 5

|                      |                  |
|----------------------|------------------|
| Application Number   | 10/766,345       |
| Filing Date          | January 28, 2004 |
| First Named Inventor | SHIMAZU          |
| Art Unit             | Unknown          |
| Examiner Name        | Unknown          |
| Attorney Docket No.  | KPG-5095US       |

**ENCLOSURES (Check all that apply)**

- ☐ Fee Transmittal Form  
☐ Fee Attached
- ☐ Amendment/Reply  
☐ After Final  
☐ Affidavits/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/  
Incomplete Application  
☐ Response to Missing Parts under  
37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a  
Provisional Application
- ☐ Power of Attorney, Revocation,  
Change of Correspondence  
Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication  
to Group
- ☐ Appeal Communication to Board  
of Appeals and Interferences
- ☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply  
Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Other Enclosure(s) (please  
identify below):

**Remarks:****SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

|                               |                        |                                   |        |
|-------------------------------|------------------------|-----------------------------------|--------|
| Firm or<br>Individual<br>Name | Bruce M. Monroe        | Registration No. (Attorney/Agent) | 33,602 |
| Signature                     | <i>Bruce M. Monroe</i> |                                   |        |
| Date                          | April 27, 2004         |                                   |        |

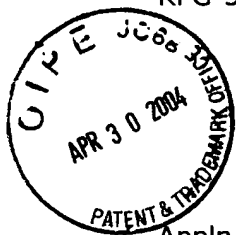
**CERTIFICATE OF TRANSMISSION / MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

|                          |                    |      |                |
|--------------------------|--------------------|------|----------------|
| Typed or<br>printed name | RUTH CURRAN        |      |                |
| Signature                | <i>Ruth Curran</i> | Date | April 27, 2004 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: 10/766,345  
Applicant: SHIMAZU et al  
Filed: January 28, 2004  
Title: METHOD FOR DEVELOPING MULTILAYER IMAGEABLE ELEMENTS  
TC/A.U.: Unknown  
Examiner: Unknown

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. Copies of each of the listed references, excluding the U.S. references, are also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

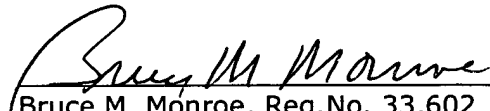
The Examiner's attention is called to the following copending applications.

- 1) "Method for Preparing Lithographic Printing Plates," Serial Number 10/393,762, filed March 21, 2003, pending in Group Art Unit 1756, Examiner Unknown.
- 2) "Preparation of Lithographic Printing Plates," Serial Number 10/309,626, filed December 4, 2002, Group Art Unit 1752, Examiner John S.Y. Chu.
- 3) "Method and Apparatus for Refreshment and Reuse of Loaded Developer," Serial Number 10/044,165, filed October 26, 2001, Group Art Unit 1724, Examiner Hoa Van Le.

4) "Method for Developing Multilayer Imageable Elements," Serial Number 10/625,229, filed July 23, 2003, Group Art Unit 1756, Examiner Unknown

This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application or before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

  
Bruce M. Monroe, Reg.No. 33,602  
Attorney for Applicant

BMM:eea

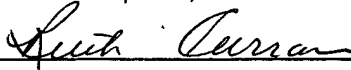
Enclosures: PTO Form 1449  
References (12)  
Transmittal Form

Dated: April 27, 2004

P.O. Box 1596  
Wilmington, DE 19899  
(302) 778-2500

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

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(AW 08/03)

Patent &amp; Trademark Office for Form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

|                      |                  |
|----------------------|------------------|
| Application Number   | 10/766,345       |
| Filing Date          | January 28, 2004 |
| First Named Inventor | SHIMAZU          |
| Art Unit             | Unknown          |
| Examiner Name        | Unknown          |
| Attorney Docket No.  | KPG-5095US       |

**SHEET 1 of 2****U.S. PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                            | Publication Date<br>(MM-DD-YYYY) | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|-----------------------|--------------------------|--|----------------------------------|--|---|
|                       |                          | Number - Kind Code <sup>2</sup> (if known) |                                  |  |   |
|                       |                          | US-5,217,848                               | 6/8/1993                         | UEHARA et al                                       |   |
|                       |                          | US-5,398,092                               | 3/14/1995                        | TANNO et al  |   |
|                       |                          | US-5,839,011                               | 11/17/1998                       | URASAKI et al                                      |   |
|                       |                          | US-5,858,589                               | 1/12/1999                        | GOVAERT et al                                      |   |
|                       |                          | US-5,887,214                               | 3/23/1999                        | KURIU et al  |   |
|                       |                          | US-6,280,899                               | 8/28/2001                        | PARSONS et al                                      |   |
|                       |                          | US-6,294,311                               | 9/25/2001                        | SHIMAZU et al                                      |   |
|                       |                          | US-6,352,811                               | 3/5/2002                         | PATEL et al  |   |
|                       |                          | US-6,352,812                               | 3/5/2002                         | SHIMAZU et al                                      |   |
|                       |                          | US-6,358,669                               | 3/19/2002                        | SAVARIAR-HAUCK et al                               |   |
|                       |                          | US-6,478,483                               | 11/12/2002                       | MARUYAMA et al                                     |   |
|                       |                          | US-6,528,228                               | 3/4/2003                         | HAUCK et al  |   |
|                       |                          | US-6,555,291                               | 4/29/2003                        | HAUCK  |   |
|                       |                          | US-6,593,055                               | 7/15/2003                        | SHIMAZU et al                                      |   |
|                       |                          | US-  |                                  |  |   |
|                       |                          | US-  |                                  |  |   |

**FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>(MM-DD-YYYY) | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns,<br>Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup>           |
|-----------------------|--------------------------|---|----------------------------------|---|--|--------------------------|
|                       |                          | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                  |   |  |                          |
|                       |                          | EP 0864420  | 5/30/2001                        |   |  | <input type="checkbox"/> |
|                       |                          | EP 0908305  | 11/28/2001                       |   |  | <input type="checkbox"/> |
|                       |                          | EP 0908307  | 4/14/1999                        |   |  | <input type="checkbox"/> |
|                       |                          | EP 0908784  | 4/14/1999                        |   |  | <input type="checkbox"/> |
|                       |                          | EP 0960728  | 5/21/2003                        |   |  | <input type="checkbox"/> |
|                       |                          | EP 0950518  | 1/23/2002                        |   |  | <input type="checkbox"/> |
|                       |                          | EP 0940266  | 6/26/2002                        |   |  | <input type="checkbox"/> |
|                       |                          | EP 1023994  | 8/2/2000                         |   |  | <input type="checkbox"/> |
|                       |                          | JP 2001-042509 (Abstract)   | 2/16/2001                        |   |  | <input type="checkbox"/> |
|                       |                          | JP 2001-042510 (Abstract)   | 2/16/2001                        |   |  | <input type="checkbox"/> |

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

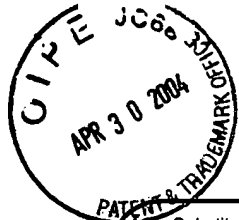
<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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(AW 08/03)

Substitute for Form 1449B/PTO

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| Filing Date          | January 28, 2004 |
| First Named Inventor | SHIMAZU          |
| Art Unit             | Unknown          |
| Examiner Name        | Unknown          |
| Attorney Docket No.  | KPG-5095US       |

**SHEET 2 of 2****NON-PATENT LITERATURE DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T <sup>2</sup>           |
|-----------------------|--------------------------|--|--------------------------|
|                       |                          | Kodak Polychrome Graphics Mercury Processor Range, Kodak Polychrome Graphics Company Ltd., 1999  | <input type="checkbox"/> |
|                       |                          | Kodak Aqua-Image Negative Plate Processor, Eastman Kodak Company, 1991   | <input type="checkbox"/> |
|                       |                          |  | <input type="checkbox"/> |
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Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>Applicant is to place a check mark here if English language translation is attached.

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